



OFFICE OF LEGAL SERVICES INNOVATION  
An Office of the Utah Supreme Court

# Sandbox Authorization Packet

## WaltonParke

### Contents

1. Utah Supreme Court Order for Authorization to Practice Law
2. Innovation Office Recommendation to the Court

# Document 1

# In the Supreme Court of the State of Utah

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## In re: Application of WaltonParke, LLC

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### ORDER FOR AUTHORIZATION TO PRACTICE LAW IN UTAH

*Based upon the Utah Supreme Court's plenary and constitutionally granted authority to regulate the practice of law in Utah, and the tenets of Standing Order 15, the Utah Supreme Court orders that WaltonParke, LLC is authorized to practice law in Utah within the Utah legal regulatory sandbox (the "Sandbox") and subject to the restrictions outlined below.*

The Court has reviewed the recommendation of the Legal Services Innovation ("LSI") Committee dated September 26, 2023 for WaltonParke, LLC ("WaltonParke") to be authorized to practice law in Utah within the Sandbox as an Alternative Business Structure ("ABS").

WaltonParke is an existing business consulting firm. It seeks authorization as an ABS in the Sandbox to employ lawyers to offer complementary legal services.

The LSI Committee has assessed WaltonParke's proposed entity structure, service methods, and legal areas and has determined that WaltonParke is a Low Innovation entity and that the risk of harm to its targeted consumers, relative to the risk of harm they currently face, is acceptable for authorization.

In light of the Court's responsibility to the public to effectively regulate the practice of law in Utah, and in keeping with the tenets of Standing Order 15, the Court now orders as follows:

1. WaltonParke is authorized to offer legal services through the following service **methods**:
  - a. Alternative Business Structure
    - i. Lawyers employed or managed by nonlawyer(s)
    - ii. Nonlawyer ownership
  - b. Because WaltonParke is an ABS in which all legal services are provided by lawyers, it is authorized to provide services to the extent of those lawyers' licenses, subject to the lawyers' duties and responsibilities under the Rules of Professional Conduct.
2. No additional Rules of Professional Conduct are **waived** as none were requested.

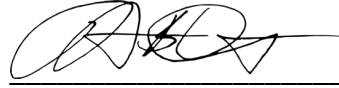
3. WaltonParke shall conform to the Low Innovation reporting requirements.
4. WaltonParke shall prominently display the following disclosure requirements:
  - a. Authorized Badge
  - b. Nonlawyer ownership disclosure
5. This Authorization is granted for the duration of the existence of the Sandbox, or until exit and permanent licensure at the discretion of the Court.
6. All Managers and Owners are hereby ordered to:<sup>2</sup>
  - a. Act in good faith to further a client's best interests.
  - b. Not allow economic or other conflicts of interests to adversely affect the legal services rendered to a client.
  - c. Ensure that legal services are delivered with reasonable diligence and promptness.
  - d. Not reveal confidential information pertaining to the representation of a client without the client's consent or as allowed or required by law.
  - e. Not engage in or allow any activity that misleads or attempts to mislead a client, a court, or others.
  - f. Not take any action or engage in activity that interferes with the professional independence of lawyers or others authorized to provide legal services.
  - g. Develop systems and processes within the entity applicant to ensure that each of the above duties are met and satisfied.
  - h. Complete a one-hour ethics training approved by the LSI Committee that explains these obligations.
7. Authorization is subject to WaltonParke's compliance with the conditions and requirements set forth in the Innovation Office Manual and verification that the entity's services are not causing material harm to consumers.
8. This Authorization does not relieve WaltonParke, its employees, or any associated lawyer from compliance with applicable state and federal laws and any rules or regulations of another state, government agency, or other governing body. WaltonParke is responsible for ensuring that it complies with the laws, rules, and regulations of any other affected jurisdiction.

If WaltonParke wishes to alter these conditions or requirements, it must submit any such change to the Office of Legal Services Innovation ("Innovation Office") for further assessment. If the Innovation Office finds a material increase in risk, then it will present the issue to the Court for further consideration.

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<sup>2</sup> The definitions of "managers" and "owners" can be found in the Innovation Office Manual.

DATED this 5<sup>th</sup> day of October, 2023.

A handwritten signature in black ink, appearing to read 'MBD', written over a horizontal line.

Matthew B. Durrant  
Chief Justice

# Document 2



OFFICE OF LEGAL SERVICES INNOVATION

An Office of the Utah Supreme Court

## Recommendation to the Court

### WaltonParke, LLC - No. 0098

September 26, 2023

#### Recommendation: Authorize Alternative Business Structure (“ABS”)

**SUMMARY:**

WaltonParke, LLC (“WaltonParke”) is an existing business consulting firm in Draper, Utah. It seeks authorization as an Alternative Business Structure (“ABS”) in the Utah legal regulatory sandbox (the “Sandbox”) to employ lawyers to offer complementary legal services.

**Innovation Assessment:** WaltonParke meets the Innovation Requirement in that it is leveraging technology, pricing, and self-service tools to reach a variety of consumers, including those currently not accessing legal services.

ENTITY MEMBERS	PRECEDENT
<p><b>Owners:</b> Dean Offret <b>Managers:</b> Andrew Welch, Esq.; J. Shea Owens, Esq.</p>	<p><b>Same Service Method &amp; Innovation Level</b> Alternative Business Structure</p> <ul style="list-style-type: none"><li>- Law on Call</li><li>- Truinta</li></ul>
ENTITY/SERVICE METHOD(S)	LEGAL AREAS/ISSUES

<p><b>Alternative Business Structure</b></p> <ul style="list-style-type: none"> <li>● Lawyers employed or managed by nonlawyer(s)</li> <li>● Nonlawyer ownership - 50% or more</li> </ul>	<p>Because WaltonParke is an ABS in which all legal services are provided by lawyers, it is authorized to provide services to the extent of those lawyers' licenses, subject to the lawyers' duties and responsibilities under the Rules of Professional Conduct.</p>
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INNOVATION LEVEL REQUIREMENTS	CONDITIONS OF PARTICIPATION
<p><b>Low</b></p> <ul style="list-style-type: none"> <li>● Data reporting every month</li> <li>● Badge</li> <li>● Nonlawyer ownership disclosure</li> </ul>	<p>All Managers and Owners must: <sup>1</sup></p> <ul style="list-style-type: none"> <li>● Act in good faith to further a client's best interests.</li> <li>● Not allow economic or other conflicts of interest to adversely affect the legal services rendered to a client.</li> <li>● Ensure that legal services are delivered with reasonable diligence and promptness.</li> <li>● Not reveal confidential information pertaining to the representation of a client without the client's consent or as allowed or required by law.</li> <li>● Not engage in or allow any activity that misleads or attempts to mislead a client, a court, or others.</li> <li>● Not take any action or engage in activity that interferes with the professional independence of lawyers or others authorized to provide legal services.</li> </ul>

<sup>1</sup> As defined in the Innovation Office Manual.



- Develop systems and processes within the entity applicant to ensure that each of the above duties are met and satisfied.
- Complete a one-hour ethics training approved by the Legal Services Innovation (“LSI”) Committee that explains these obligations.

**ADDITIONAL WAIVERS**

N/A