



OFFICE OF LEGAL SERVICES INNOVATION

An Office of the Utah Supreme Court

INNOVATION OFFICE MONTHLY REPORT

LAUNCH - OCTOBER 28, 2020

SUMMARY

On August 12, 2020, the Utah Supreme Court approved Standing Order No. 15 and the proposed reforms to Rule 5.4 to authorize the launch of the new Office of Legal Services Innovation and the legal regulatory Sandbox. Through late August and the beginning of September 2020, the Court appointed the ten volunteer members of the Office and the Executive Director (also a member). The Office is currently staffed by three part-time positions. In addition to the Executive Director, Lucy Ricca, the Office staff includes Dr. James Teufel as Data Analyst and Helen Lindamood as Project Manager.

The Office began its regulatory operations as soon as the Court completed appointment of its members, including accepting applications to the Sandbox and moving forward with recommendations to the Court. This Report covers the time period from the establishment of the Office through the end of October 2020. This includes several applicants that were accepted and considered by the Implementation Task Force (the entity tasked with developing and executing the regulatory reform project from August 2019 until the launch of the Office) during the period leading up to the approval of Standing Order No. 15 and revised Rule 5.4.

During the period covered by this Report, the Office has received 28 applications to the Sandbox. The Office has recommended 15 of those applications to the Court for admission to the Sandbox. The Court has authorized 11 entities (in whole or in part) to offer services in the Sandbox. 6 applicants withdrew their applications; 1 has withdrawn but will resubmit its application. There are 11 entities currently under active review by the Innovation Office. Applications come in at a rate of approximately 2 per week.

Four of the 6 authorized entities have started offering services to the public: Blue Bee Bankruptcy, AGS Law, RocketLawyer, and 1LAW. Blue Bee Bankruptcy and AGS Law are designated low risk entities with quarterly reporting requirements; their first data reports will be submitted by January 5, 2021. RocketLawyer is designated a low-moderate risk entity with monthly reporting requirements and will submit its first report by November 5, 2020. 1LAW is designated a moderate risk entity with monthly reporting requirements and will submit its first report by November 5, 2020.

The below tables present visual models of the current status of the Sandbox.

OVERALL METRICS

Total Applications Received	31
Applicants Recommended for Admission	16
Applicants Admitted to the Sandbox	11
Applicants Recommended to Deny Admission	0
Applicants Recommended to Exit the Sandbox	0

AUTHORIZED ENTITIES

	10 - Blue Bee	15 - AGS Law	19 - Firmly	04 - Lawpal	05 - Rocket Lawyer	07 - R&R	14 - FOCL	02 - 1Law	03 - LawHQ	12 - Nuttall	13 - Estate Guru		
Risk Level	Low	Low	Low	Low / Moderate	Low / Moderate	Low / Moderate	Low / Moderate	Moderate	Moderate	Moderate	Moderate		
Total Categories	1	3	1	4	15	9	1	16	1	5	5		
	2	1	1	2	2	2	3	4	3	4	6	Total Models	
Accident/Injury	4					X		X	X	X		9	Lawyers employed / managed by nonlawyers
Adult Care	3				X	X		X				4	<50% nonlawyer ownership
Business	6	X	X			X		X	X	X	X	6	50% + nonlawyer ownership
Criminal Expungement	2				X			X				3	Fee sharing
Discrimination	2				X			X				2	Software provider /w lawyer involvement - doc completion
Domestic Violence	3				X	X		X				4	Software provider w/ lawyer involvement
Education	2				X			X				-	Software provider w/out lawyer involvement
Employment	4				X			X	X	X		2	Non-lawyer provider w/ lawyer involvement
End of Life Planning	6		X		X	X		X			X	-	Nonlawyer provider w/out lawyer involvement
Financial Issues	6	X			X	X		X			X	-	
Healthcare	4				X	X		X			X		
Housing (Rental)	3				X	X		X					
Immigration	2				X			X					
Marriage and Family	6				X	X	X	X		X			
Military	1				X								
Native American/ Tribal Issues	-												
Public Benefits	3				X	X		X					
Real Estate	4		X		X			X			X		
Traffic Citations	-												

AUTHORIZED ENTITIES REPORTING STATUSES

Entity Name	Risk Category	Launch Date	First Report Due	Frequency
Blue Bee Bankruptcy	Low	10/1/20	1/5/21	Quarterly
AGS Law	Low	10/1/20	1/5/21	Quarterly
Firmly LLC	Low	TBD	TBD	Quarterly
Rocket Lawyer	Low-Moderate	10/1/20	11/5/20	Monthly
R&R Legal Services	Low-Moderate	11/1/20 - Tentative	12/5/20	Monthly
LawPal	Low-Moderate	11/1/20 - Tentative	12/5/20	Monthly
FOCL Law	Low-Moderate	11/1/20	12/5/20	Monthly
1Law	Moderate	10/1/20	11/5/20	Monthly
LawHQ	Moderate	11/1/20	12/5/20	Monthly
Nuttal Brown	Moderate + Fee Sharing	1/1/21	2/5/21	Monthly
Estate Guru	Moderate	11/1/20	12/5/20	Monthly