



OFFICE OF LEGAL SERVICES INNOVATION
An Office of the Utah Supreme Court

Sandbox Authorization Packet

Lindenberg Law Group

August 15, 2022

Contents

1. Utah Supreme Court Order for Authorization to Practice Law
2. Innovation Office Recommendation to the Court

Document 1

In the Supreme Court of the State of Utah

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In re: Application of Lindenberg Law Group

ORDER FOR AUTHORIZATION TO PRACTICE LAW IN UTAH Amended June 6, 2023

Based upon the Utah Supreme Court's plenary and constitutionally granted authority to regulate the practice of law in Utah, and the tenets of Standing Order 15, the Utah Supreme Court orders that Lindenberg Law Group is authorized to practice law in Utah within the Utah legal regulatory sandbox ("Sandbox") and subject to the restrictions outlined below.

The Court has reviewed the recommendation of the Office of Legal Services Innovation ("Innovation Office") for Lindenberg Law Group to be authorized to practice law in Utah.

Lindenberg Law Group is an Alternative Business Structure ("ABS") providing legal services in emerging areas of business law with overlap in cybersecurity, Web 3/non-fungible tokens ("NFTs"), and healthcare specific to the field of medical aesthetics which serves as an intersection of medicine and retail. Lindenberg Law Group seeks to amend their authorization to include employment law-related services.

The Innovation Office has assessed the risk of harm to Lindenberg Law Group's targeted consumers relative to the risk of harm they currently face and has determined that the risk of harm presented by Lindenberg Law Group's services is Low.

In light of the Court's responsibility to the public to effectively regulate the practice of law in Utah, and in keeping with the tenets of Standing Order 15, the Court now orders as follows:

1. Lindenberg Law Group is authorized to offer legal services through the following methods:
 - a. Lawyers employed or managed by a nonlawyer

- b. Nonlawyer ownership
 - c. Lawyers sharing revenue with nonlawyers
2. Because Lindenberg Law Group is an ABS in which all legal services are provided by lawyers, it is authorized to provide services to the extent of those lawyers' licenses, subject to the lawyers' duties and responsibilities under the Rules of Professional Conduct.
3. Lindenberg Law Group shall conform to the Low Innovation reporting requirements imposed by the Innovation Office.
4. Lindenberg Law Group will prominently display the following disclosure requirements:
 - a. Innovation Office Badge
 - b. Nonlawyer ownership disclosure
5. This authorization is granted for the duration of the existence of the Sandbox, or until exit and permanent licensure at the discretion of the Court.
6. All managers and owners are hereby ordered to:
 - a. Act in good faith to further a client's best interests.
 - b. Not allow economic or other conflicts of interest to adversely affect the legal services rendered to a client.
 - c. Ensure that legal services are delivered with reasonable diligence and promptness.
 - d. Not reveal confidential information pertaining to the representation of a client without the client's consent or as allowed or required by law.
 - e. Not engage in or allow any activity that misleads or attempts to mislead a client, a court, or others.
 - f. Not take any action or engage in activity that interferes with the professional independence of lawyers or others authorized to provide legal services.

² Managers and owners are specific terms defined in the Innovation Office Manual as follows:

Managers

All persons and entities who wholly or partially direct the management or policies of the entity, and/or the direct provision of legal services to consumers, whether through ownership of securities, by contract, or otherwise, including a mentor who manages the person providing the legal services. Also called "controlling persons."

Owners

All persons and entities who wholly or partially (10% or more) finance the business (i.e. the services) of the entity. Also called "financing persons."

Please note that the definition of owner/financing person does not include philanthropic funders or other investors who do not take an ownership interest in the entity.

- g. Develop systems and processes within the entity applicant to ensure that each of the above duties are met and satisfied.
 - h. Complete a one-hour ethics training approved by the LSI Committee that explains these obligations.
7. Authorization is subject to Lindenberg Law Group's compliance with the conditions and requirements set forth in the Innovation Office Manual and verification by the Innovation Office that the company's services are not causing material harm to consumers.
 8. This authorization does not relieve Lindenberg Law Group, its employees, or any associated lawyer from compliance with applicable state and federal laws and any rules of another state government agency, or other governing body. Lindenberg Law Group is responsible for assuring that it is in compliance with the laws and rules of any other affected jurisdiction.

If Lindenberg Law Group wishes to alter these conditions or requirements, it must submit any such change to the Innovation Office for further assessment. The Innovation Office will assess the proposed change and may permit the change if it deems the change does not materially increase the risks to consumers. If the Innovation Office finds a material increase in risk, then it will present the issue to the Court for further consideration.

DATED this 19th day of July 2023.



Matthew B. Durrant
Chief Justice

Amended Recommendation to the Court

Lindenberg Law Group – No. 075

Amended July 6, 2023

SUMMARY: Lindenberg Law Group is an Alternative Business Structure (“ABS”) providing legal services in emerging areas of business law with overlap in cybersecurity, Web 3/non-fungible tokens (“NFTs”), and healthcare specific to the field of medical aesthetics which serves as an intersection of medicine and retail.

Amendment Requested: Lindenberg Law Group is seeking an Amended Order to also provide services related to employment law. Because Lindenberg Law Group is an ABS, and all legal services are provided through lawyers, the Committee recommends revising the language of the authorization as recommended below.

ENTITY/SERVICE METHOD(S)	LEGAL AREAS
ABS <ul style="list-style-type: none">• Lawyers employed or managed by nonlawyer(s)• Nonlawyer ownership (50% or more)• Lawyers sharing revenue with nonlawyers	Because Lindenberg Law Group is an ABS in which all legal services are provided by lawyers, it is authorized to provide services to the extent of those lawyers’ licenses, subject to the lawyers’ duties and responsibilities under the Rules of Professional Conduct.

INNOVATION LEVEL REQUIREMENTS	CONDITIONS OF PARTICIPATION
<p>Low</p> <ul style="list-style-type: none"> ● Every 3 month(s) data reporting ● Badge ● Nonlawyer ownership disclosure 	<p>All managers and owners must:¹</p> <ul style="list-style-type: none"> ● Act in good faith to further a client’s best interests. ● Not allow economic or other conflicts of interest to adversely affect the legal services rendered to a client. ● Ensure that legal services are delivered with reasonable diligence and promptness. ● Not reveal confidential information pertaining to the representation of a client without the client’s consent or as allowed or required by law. ● Not engage in or allow any activity that misleads or attempts to mislead a client, a court, or others. ● Not take any action or engage in activity that interferes with the professional independence of lawyers or others authorized to provide legal services. ● Develop systems and processes within the entity applicant to ensure that each of the above duties are met and satisfied. ● Complete a one-hour ethics training approved by the Legal Services Innovation (“LSI”) Committee that explains these obligations.

¹ As defined in the Innovation Office Manual.

In the Supreme Court of the State of Utah

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In re: Application of Lindenberg Law Group

ORDER FOR AUTHORIZATION TO PRACTICE LAW

Based upon the Utah Supreme Court's plenary and constitutionally granted authority to regulate the practice of law in Utah, and the tenets of Standing Order 15, the Utah Supreme Court orders that Lindenberg Law Group is authorized to practice law within the regulatory sandbox and subject to the restrictions outlined below.

The Court has reviewed the recommendation of the Office of Legal Services Innovation ("Innovation Office") for Lindenberg Law Group to be authorized to practice law.

Lindenberg Law Group is an ABS seeking to provide legal services in emerging areas of business law with overlap in cyber-security, Web 3/NFT, and healthcare specific to the field of medical aesthetics which serves as an intersection of medicine and retail.

The Innovation Office has assessed the risk of harm to Lindenberg Law Group targeted consumers relative to the risk of harm they currently face and has determined that the risk of harm presented by Lindenberg Law Group's service is Low.

In light of the Court's responsibility to the public to effectively regulate the practice of law in Utah and in keeping with the tenets of Standing Order 15, the Court now orders as follows:

1. Lindenberg Law Group is authorized to offer legal services through the following methods:
 - a. Lawyer employed or managed by a nonlawyer
 - b. Nonlawyer ownership
 - c. Lawyers sharing profits with nonlawyers

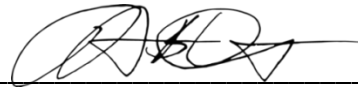
2. Lindenberg Law Group is authorized to provide legal services across the following legal service areas, subject to the restrictions outlined below:
 - a. Business
 - b. Consumer Financial Issues
 - c. Healthcare

3. Lindenberg Law Group shall conform to the Low Innovation reporting requirements imposed by the Innovation Office.
4. Lindenberg Law Group will prominently display the following disclosure requirements:
 - a. Innovation Office Badge
 - b. Nonlawyer ownership disclosure

If Lindenberg Law Group wishes to alter these conditions or requirements, it must submit any such change to the Innovation Office for further assessment. The Innovation Office will assess the proposed change and may permit the change if it deems the change does not materially increase the risks to consumers. If the Innovation Office finds a material increase in risk, then it will present the issue to the Court for further consideration.

This authorization is granted for the duration of the existence of the Sandbox, or until exit and permanent licensure at the discretion of the Court. Authorization is subject to Lindenberg Law Group's compliance with the conditions and requirements set forth in the Innovation Office Manual and the Innovation Office Recommendation to the Court and to a verification by the Innovation Office that the company's services are not causing material harm to consumers.

DATED this 10th day of August, 2022.



Matthew B. Durrant
Chief Justice

Document 2



Sandbox Recommendation to the Court

App No.0075 - Lindenberg Law Group

August 10, 2022

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Executive Summary

Recommendation: Authorize

Applicant: Lindenberg Law Group

Proposed Model/Service: Lindenberg Law Group is an ABS seeking to provide legal services in emerging areas of business law with overlap in cyber-security, Web 3/NFT, and healthcare specific to the field of medical aesthetics which serves as an intersection of medicine and retail.

Target Consumer Market Consumers seeking cross- specialty expertise in business related legal matters.

Sandbox Qualifiers: This business model/service qualifies for the Sandbox because it would feature the following characteristics:

- Lawyers employed or managed by nonlawyers
- Nonlawyer ownership

Regulatory Objective Qualifier:¹ Lindenberg Law Group’s proposal meets the regulatory objective as it seeks cost effective ways to utilize attorneys, optimize technological advances and encourage the use of a distributed services model in pro bono cases.

Utah Qualifier: Adapted for Utah requirements

Implementation Qualifier: Ready for immediate implementation

Innovation Assessment

General Assessment: Low

Specific Risks: As with any ABS there are common risks regarding:

1. Confidentiality

¹ Regulatory Objective: To ensure consumers have access to a well-developed, high-quality, innovative, affordable, and competitive market for legal services. (Standing Order No. 15)

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2. Non-lawyer oversight of services
 3. Corporate interest in protecting profit

Lindenberg Law Group has appropriately addressed these concerns.

Sandbox Recommendation

We recommend the Court authorize Lindenberg Law Group to offer legal services in the state of Utah, subject to such requirements as the Innovation Office may impose.

Scope of Recommended Authorization

Term of authorization	The Innovation Office recommends that authority be granted for the duration of the existence of the Sandbox, or until exit and permanent licensure at the discretion of the Court. Any such licensure would be subject to the applicant complying with the conditions and requirements set forth below and also verification by the Innovation Office that the Entity has a record of compliance with all requirements and the company's services are not causing harm to consumers.
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Recommended legal service models	This section presents a numbered list of the recommended service models. <ol style="list-style-type: none">1. Lawyer employed or managed by a nonlawyer2. Nonlawyer ownership3. Lawyers sharing fees with nonlawyers
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Legal service models not recommended	None
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Recommended consumer service areas	<ol style="list-style-type: none">1. Business2. Consumer Financial Issues3. Healthcare
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Recommended waivers	None
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Recommended qualitative compliance requirements	Standardized disclosure statements on website and in mobile applications (see Manual): <ol style="list-style-type: none">1. Badge2. Nonlawyer ownership disclosure
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Note: All lawyers employed by Lindenberg Law Group remain subject to the rules of professional conduct and the disclosure requirements imposed by Rule 5.4.

Recommended data reporting requirements

Low Innovation data reporting requirements (see Manual)